# 10/593571 IAP9/Rec'd PCT/PTO 20 SEP 2006

Docket No.

294652US0PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Fausto PIVETTI, et al.

SERIAL NO: New U.S PCT Application Based on PCT/EP05/03144

GAU:

FILED:

Herewith

FOR:

**EXAMINER:** 8-HYDROXY-5-[(-HYDROXY-2-[] (1R)-2-(4-METHOXYPHENYL)-1-METHYLETHYL] AMINO] [ETHYL]-

2(1H)-QUINOLINONE MONOHYDROCHLORIDE IN CRYSTALLINE FORM AND THE PROCESS FOR ITS

**PREPARATION** 

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

The applicant(s) wish to make of record the references cited in the International Search Report and listed on the
attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of
relevancy or any readily available English translations of pertinent portions of any non-English language
references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be
related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies
of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the
attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **CERTIFICATION**

Each item of information contained in this information disclosure statement was first cited in any communication
from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of
this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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OF ATTY DOCKET NO. SERIAL NO. Form PTO 1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE New U.S PCT Application 294652US0PCT Based on PCT/EP05/03144 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Fausto PIVETTI, et al. FILING DATE **GROUP** Herewith **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT SUB **FILING DATE** DATE NAME CLASS INITIAL NUMBER **CLASS** IF APPROPRIATE AA 4 579 854 04/01/86 IWAKUMA, Takeo et al. AB 6 030 604 02/29/00 TROFAST, Jan AC AD AE AF AG AΗ ΑI AJ ΑK AL AM AN **FOREIGN PATENT DOCUMENTS DOCUMENT TRANSLATION** DATE COUNTRY NUMBER YES NO AO 9-309830 12/02/97 JP(with English abstract) NO 2005/013945 02/17/05 AP WO(equivalent of US 2005/059643) NO AQ AR AS AT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) KIKKAWA, Hideo et al., "Tracheal Relaxing Effects and \$2-Selectivity of TA-2005, a Newly Developed Bronchodilating Agent, in Isolated Guinea Pig Tissues", Japan. J. Phrmacol. Vol. 57, Pages 175-185, 1991. VOSS, Hans-Peter et al., "Atypical molecular pharmacology of a new long-acting  $\beta$ 2-adrenoceptor agonist, TA 2005", European Journal of Pharmacology, Molecular Pharmacology Section, Vol. 227, No. 4, Pages 403-409, 1992. AX IZEBOUD, C. A. et al., "Stereoselectivity at the. beta. 2-adrenoceptor on macrophages is a major determinant of the antiinflammatory effects of beta 2-agonists", Naunyn-Schmiedeberg's Archives of Pharmacology, Vol. 362, No. 2, Pages 184-189, 2000. (English abstract only) ROSSONI, Giuseppe et al., "Positive interaction of the \$2-agoinst CHF 4226.01 with budesonide in the control of bronchoconstriction induced by Additional References sheet(s) attached acetaldehyde in the guinea-pigs", British Journal of pharmacology, Vol. 144, Pages 422-429, 2005. Examiner

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**Date Considered**